UTILITY PATENT APPLICATION TRANSMITTAL

| Attorn | ey Docket No. | 1194-180 | | | |
|---------|-----------------|--|--|--|--|
| First I | nventor | H. Paul Redmond | | | |
| Title | Use of Taurolic | lidine And/or Taurultam for Treatment of Abdominal | | | |

Cancer And/or for Prevention of Metastases Assignee Information: Ed. Geistlich Söehne AG für Chemische Industrie Switzerland **APPLICATION ELEMENTS** ADDRESS TO: **Assistant Commissioner of Patents Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, D.C. 20231 1. X Fee Transmittal Form 7. CD-ROM or CD-R in duplicate, large table (Submit an original, and a duplicate for fee processing) or Computer Program Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission 3. X Specification a. Computer Readable Form (CRF) Total pages [18] (preferred arrangement set forth below) b. Specification Sequence Listing on: Descriptive title of the invention - Cross references to Related Applications i. CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention Ser. - Brief Description of the Drawings **ACCOMPANYING APPLICATION PARTS** Harry Harry - Detailed Description 9. Assignment Papers (cover sheet & document(s)) A. Land - Claims 10. 37 CFR 3.73(b) Statement an k Abstract of the Disclosure (when there is an assignee) 4 Drawing(s) (35 USC 113) (Total Sheets) [] Power of Attorney 5.4 Oath or Declaration (Total Pages) [] 11. English Translation Document (if applicable) ### ## a. Newly executed (original or copy) 12. Information Disclosure Statement /PTO 1449 Copy from a prior application Copies of IDS Citations in. (37 CFR 1.63(d) 13. Preliminary Amendment (for continuation/divisional with Box 17 completed) 14. X Return Receipt Postcard (MPEP 503) [Note Box 5 below] (Should be specifically itemized) Handy. i DELETION OF INVENTOR(S) 15. Certified Copy of Priority Document(s). 100 E Signed statement attached deleting Request and Certification under 35 U.S.C. inventor(s) named in the prior application, 122(b)(2)(B)(i). X Application Data Sheet. 17. ☐ Other: 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76:: X Continuation-in-part (CIP) of prior application No.: 09/493,797, filed January 28, 2000. Prior application information: Examiner Group/Art Unit For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. X Customer Number or Bar Code Label 6449 Address Rothwell, Figg, Ernst & Manbeck Suite 701-East, 555 13th Street, N.W. City Washington State D.C. Zip Code 20004 Country U.S.A. Telephone 202-783-6040 Fax 202-783-6031 Name George R. Repper, Req. No. 31,414 Signature Date

Complete if Known **New Application Application Number FEE TRANSMITTAL** October 9, 2001 Filing Date for FY 2001 H. Paul Redmond First Named Inventor (Large Entity) Unassigned **Examiner Name** Group Art Unit Unassigned 1194-180 (\$) -0-Attorney Docket Number Total Amount of Payment

| METHOD OF PAYMENT (check one) | | | | | | FEE CALCULATION (continued) | | | | | | |
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| 1. 🔲 | The Commissioner is hereby authorized to charge | | | | <u> </u> | 3. ADDITIONAL FEES | | | | | | |
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| | Charge any Additional I | Fee Requii | ed Under | • | | 139 | 130 | Non-English specification | r ı | | | |
| | 37 CFR 1.16 and 1.17 | | | | | | | For filing a request for reexamination | † † | | | |
| | | | | | | 147 112 | 2,520 920 | Requesting publication of SIR | † † | | | |
| | Applicant claims small | entity statu | s | | | 112 | 320 | prior to Examiner action | 1 1 | | | |
| | , tpp://doi.it.org/ | J., 1.1. | | | | 440 | 4.040* | | [] | | | |
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| 2. | Payment Enclosed | | | | | 445 | 440 | Extension for reply within first month | г 1 | | | |
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| 444 | | | | | | 119 | 320 | Notice of Appeal | 1 1 | | | |
| | INO EEE | | | | | 120 | 320 | Filing a brief in support of an appeal | 1 1 | | | |
| | ING FEE | | | | | 121 | 280 | Request for Oral Hearing Petition to institute a public use proceeding | | | | |
| Fee Fe | e | | | | | 138 | 1,510 | Petition to revive -unavoidable | 1 1 | | | |
| Code | \$ Fee Description | on | Fee P | aid | | 140 | 110 | Petition to revive - unintentional | ; | | | |
| 101 7 | 10 Utility filing fee | | [740 |] | | 141 | 1,280 | | † † | | | |
| | 30 Design Filing Fee | | [|] | | 142 | 1,280 | Utility issue fee (or reissue) | } | | | |
| 107 5 | 10 Plant Filing Fee | | [|] | | 143 | 460 | Design issue fee Plant issue fee | | | | |
| | 40 Reissue Filing Fee | | [| ļ | | 144 | 620 | Petitions to the Commissioner | 1 | | | |
| 112 1 | 60 Provisional Filing | Fee | [|] | | 122 | 130 | | 1 1 | | | |
| 1 1 1 | | | | | | 123 | 50 | Processing fee under 37 CFR 1.17(q) Submission of Information Disclosure State | omont I | | | |
| # a. | SUB | TOTAL | \$740 | | | 126 581 | 180 40 | Recording each patent assignment per pro | | | | |
| gak gak | | | | | | 301 | 40 | (times number of properties) | perty [] | | | |
| 2. CL | AIMS | | | | | 4.46 | 740 | Filing a submission after final rejection | [] | | | |
| 2. VL | MINIO | Extra | | | | 146 | 740 | (37 CFR .129(a)) | f 1 | | | |
| | | Claims | Fee | Fee | | 149 | 740 | For each additional invention to be | [] | | | |
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| NAME AND George R. Repper, Reg. No. 31,414 REG. NUMBER | | | | | | |
| SIGNATURE | Se R. Ryse | DATE | 10-9-01 | DEPOSIT ACCOUNT USER ID | | |